



JAN. 2. 2004 3:46PM 6th FLOOR COPY ROOM

NO. 110 P. 7

*RESPONSE UNDER 37 CFR 1.116-
EXPEDITED PROCEDURE EXAMINING
GROUP 2814

209665US-2

RECEIVED
CENTRAL FAX CENTER

JAN 02 2004

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF:

Seiichi MORI

SERIAL NO.: 09/876,019

FILED: June 8, 2001

FOR: NONVOLATILE SEMICONDUCTOR :
DEVICE WITH FLOATING GATE
STRUCTURE

: GROUP ART UNIT: 2814

: EXAMINER: Hoai V. PHAM

OFFICIAL

AMENDMENT

COMMISSIONER FOR PATENTS
ALEXANDRIA, VA 22313-1450

SIR:

Responsive to the Office Action dated September 2, 2003, please amend the above-identified application as indicated in the attached pages:

Amendments to the Specification begin on page 2 of this paper.

Amendments to the claims are reflected in the listing of claims which begins on page 3 of this paper.

Remarks/Arguments begin on page 7 of this paper.

JAN. 2. 2004 3:44PM

6th FLOOR COPY ROOM

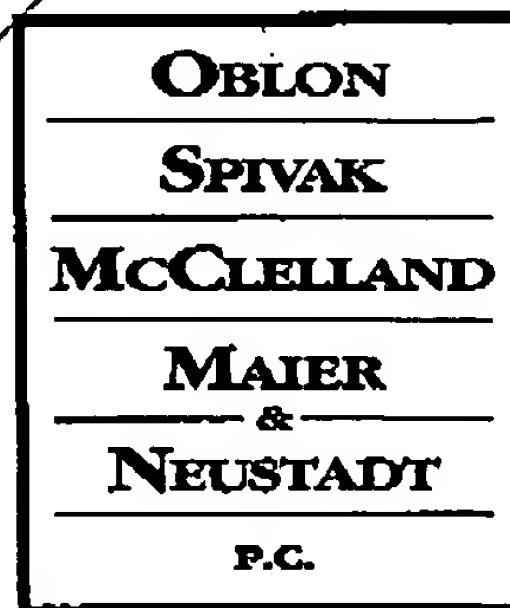
NO. 110 P. 1



RECEIVED
CENTRAL FAX CENTER

JAN 02 2004

OFFICIAL



ATTORNEYS AT LAW

I hereby certify that this paper is being facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Date: 1/2/04 Signature: [Signature] Attorney

To: Examiner: Hoai V. Pham Telephone Number: 308-6173

Group Art Unit: 2814

Facsimile No.: 703-872-9306

From: Carl E. Schlier Registration No.: 34,426

Telephone Number: 703-413-3000

Date: December 31, 2003

RE: U.S. Application Serial Number: 09/876,019

Filed: June 8, 2001

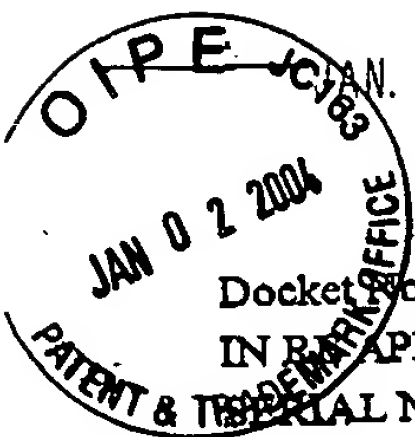
Attorney Docket Number: 209665US2

TOTAL NUMBER OF PAGES INCLUDING THIS PAGE: 18

COMMENTS

In the event that any fees are due, including any fees required under 37 CFR 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, please charge the required fees to our Deposit Account No. 15-0030. Further, if these papers are not considered timely filed, then a petition is hereby made under 37 CFR 1.136 for the necessary extension of time.

1940 DUKE STREET ■ ALEXANDRIA, VIRGINIA 22314 ■ U.S.A.
TELEPHONE: 703-413-3000 ■ FACSIMILE: 703-413-2220 ■ WWW.OBLON.COM



JAN. 2. 2004 3:45PM 6th FLOOR COPY ROOM

NO. 110 P. 3

"RESPONSE UNDER 37 CFR 1.118-
EXPEDITED PROCEDURE EXAMINING
GROUP 2814"

Docket No. 209665US2
IN RE APPLICATION OF: Seiichi MORI
SERIAL NO: 09/876,019
FILED: June 8, 2001
FOR: NONVOLATILE SEMICONDUCTOR DEVICE WITH FLOATING GATE STRUCTURE

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:
Transmitted herewith is an amendment in the above-identified application.

- ☐ No additional fee is required
☐ Small entity status of this application under 37 C.F.R. §1.9 and §1.27 is claimed.
☒ Additional documents filed herewith: Request for Extension of Time (1 Month); Letter Submitting Drawing Sheet(s);
Replacement Sheets (2 Sheets)

The Fee has been calculated as shown below:

CLAIMS	CLAIMS REMAINING		HIGHEST NUMBER PREVIOUSLY PAID	NO. EXTRA CLAIMS	RATE	CALCULATIONS
TOTAL	16	MINUS	20	0	x \$18 =	\$0.00
INDEPENDENT	1	MINUS	6	0	x \$86 =	\$0.00
		<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS			+ \$290 =	\$0.00
		TOTAL OF ABOVE CALCULATIONS				\$0.00
		<input type="checkbox"/> Reduction by 50% for filing by Small Entity				\$0.00
		<input type="checkbox"/> Recordation of Assignment			+ \$40 =	\$0.00
		TOTAL				\$0.00

- ☐ A check in the amount of \$0.00 is attached.
☒ Credit card payment form is attached to cover the fees in the amount of \$110.00
☒ Please charge any additional Fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.
☒ If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136, and any additional fees required under 37 C.F.R. §1.136 for any necessary extension of time may be charged to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

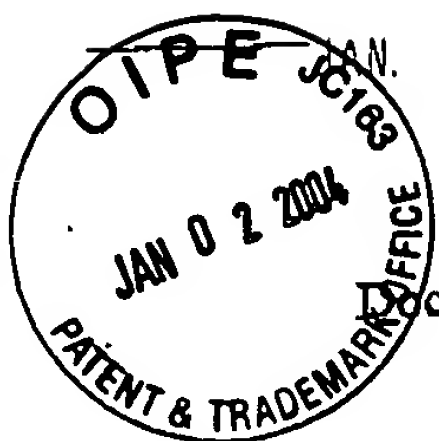
OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.


Eckhard H. Kniesters
Registration No. 28,870

Customer Number
22850
Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 05/03)

Carl E. Schlier
Registration No. 34,426

James D. Hamilton
Registration No. 28,421



JAN. 2. 2004 3:48PM 6th FLOOR COPY ROOM

NO. 110 P. 16

Docket No.: 209665US2

"RESPONSE UNDER 37 CFR 1.116-
EXPEDITED PROCEDURE EXAMINING
GROUP 2814"

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION: Seiichi MORI

SERIAL NO.: 09/876,019

GAU: 2814

FILED: June 8, 2001

EXAMINER: Hoai V. PHAM

FOR: NONVOLATILE SEMICONDUCTOR DEVICE WITH FLOATING GATE STRUCTURE

LETTER SUBMITTING REPLACEMENT DRAWING SHEET(S)

COMMISSIONER FOR PATENTS
Alexandria, VA 22313

SIR:

Responsive to the below indicated communication, the following drawing sheets are submitted herewith:

☒ 2 Replacement Drawing Sheets ☐ _____ New Drawing Sheets

☒ Official Action dated September 2, 2003

☐ Notice of Allowance/Issue Fee dated _____

☐ Other dated _____

The changes and/or modifications made include the following:

Figures 35 and 36 have been identified as "Prior Art".

Respectfully submitted,
OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Customer Number

22850

Tel: (703) 413-3000

Fax: (703) 413-2220

(OSMMN 08/03)

I:\ATTY\CES\209665US.LOD_122303.DOC


Eckhard H. Kuesters
Registration No. 28,870

Carl E. Schlier
Registration No. 34,426

Attorneys of Record

James D. Hamilton
Registration No. 28,421